



Leicester  
City Council

**WARDS AFFECTED: ALL**

**IMPB 12<sup>th</sup> September 2014**  
**Audit and Risk Committee**

**29th September 2014**

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**Regulation of Investigatory Powers Act 2000**  
**Bi-Annual Performance Report January 2014 – June 2014**

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**Report of the Director of Information Services**

**1. Purpose of the Report**

The report advises on the performance of The Council in authorising Regulatory Investigation Powers Act (RIPA) applications, from 1<sup>st</sup> Jan 2014 to 30<sup>th</sup> June 2014.

**2. Summary**

The Council applied for 1 Directed Surveillance authorisation and 0 communications data authorisations in the period above.

**3. Recommendations**

The Committee is recommended to:

- 3.1 Receive the Report and note its contents.
- 3.2 Make any recommendations or comments it sees fit either to the Executive or Director of Information Services.

**4. Report**

- 4.1 The Council applied for 1 Directed Surveillance Authorisations and 0 communications data authorisations in 2014 (Appendix A).
- 4.2 Any communications data authorisations will be carried out via the National Anti-Fraud Network (NAFN) system on our behalf. The

Interceptions of Communications Commissioner's Office (IOCCO) has recently carried out their regular inspection of NAFN.

4.3 The Council has not undergone any inspections by either the Office of the Surveillance Commissioner (OSC) or the IOCCO in this period.

4.4 The Council currently has 4 trained Authorising officers in place (Alison Greenhill, Kamal Adatia, Ann Branson and Jill Craig). The newly appointed Director of Local Services and Enforcement will be offered Authorising Officer training once he is in post.

4.6 A summary of RIPA authorisations is published annually on the Council's website by the central information governance team.

4.7 The OSC has this month published its annual report, which is attached. It shows a downward trend nationally in applications made.

4.8 A concern raised by the OSC was the level of RIPA knowledge amongst Magistrates. The Council, when organizing future RIPA training for officers, will extend the invitation to the local court.

4.8 The Council received an IOCCO circular this month highlighting its concerns on data quality. This will be circulated to Authorising Officers for information.

4.9 NAFN will hold its AGM and annual summit in Leicester in November. The Information Governance Manager will attend on behalf of the Council.

## **5. Financial, Legal Implications**

### **5.1 Financial Implications**

There are no financial implications arising directly from this report, although the Council could incur legal costs should procedures not be correctly followed – Colin Sharpe, Head of Finance, ext. 37 4081.

### **5.2 Legal Implications**

There are no legal implications arising directly from this report, although the Council could incur legal costs should procedures not be correctly followed – Kamal Adatia, City Solicitor, ext. 37 1402.

**6. Other Implications**

OTHER IMPLICATIONS	YES/NO	Paragraph References Within Supporting Information
Equal Opportunities	No	
Policy	No	
Sustainable and Environmental	No	
Climate Change	No	
Crime and Disorder	No	
Human Rights Act	No	Yes. HRA Article 8 must be considered for all applications
Elderly/People on Low Income	No	
Risk Management	No	

**7. Report Author / Officer to contact:**

Lynn Wyeth, Information Governance Manager, Information and Customer Access  
- Ext 37 1291

29<sup>th</sup> September 2014

**Appendix A**

**Direct Surveillance Authorisations Jan 2014 – June 2014**

No	Date	Auth Off	URN	Service Area & Description	Reason	Post Code
1	19.03.14	AG	387668	Revenue & Benefits	Benefit Fraud	LE5